

Rockfall susceptibility and seismically induced rockfall susceptibility at regional and national scale

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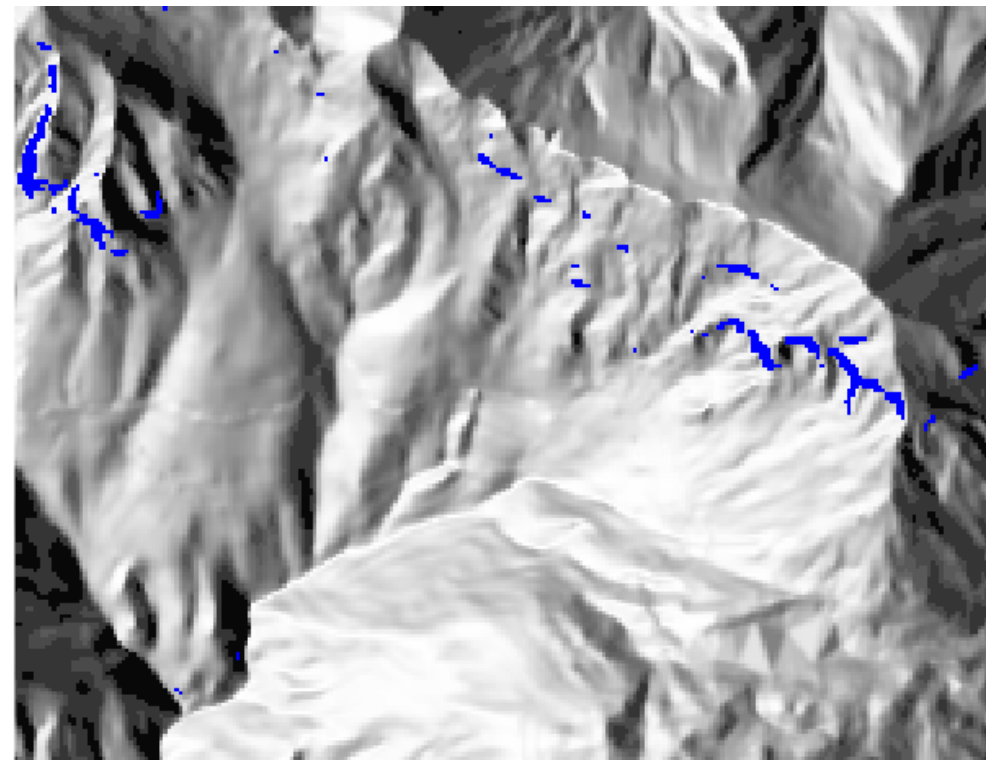
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PHYSICALLY BASED MODELING: STONE

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- Three-dimensional model for rockfalls
- Assumes point-like boulders
- Simulates trajectories from *user-defined starting points*
- **Simulated trajectories:** falling, bouncing, rolling
- Boulders stop when kinetic energy is exhausted by friction
- **Input:** digital elevation model
(here, 10 m national DEM, 300,000 km²)
- **Ancillary data:** terrain geological/lithological information
⇒ **terrain parameters** (friction, restitution coefficients)



⇒ Usually applied at **slope/catchment scale** with **static sources** (susceptibility maps)

⇒ **We run STONE at national scale**, and **select sources dynamically (specific trigger)**

Guzzetti et al., Comp. Geosci. (2002)

ROCKFALL SOURCES: PROBABILISTIC APPROACH

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STONE

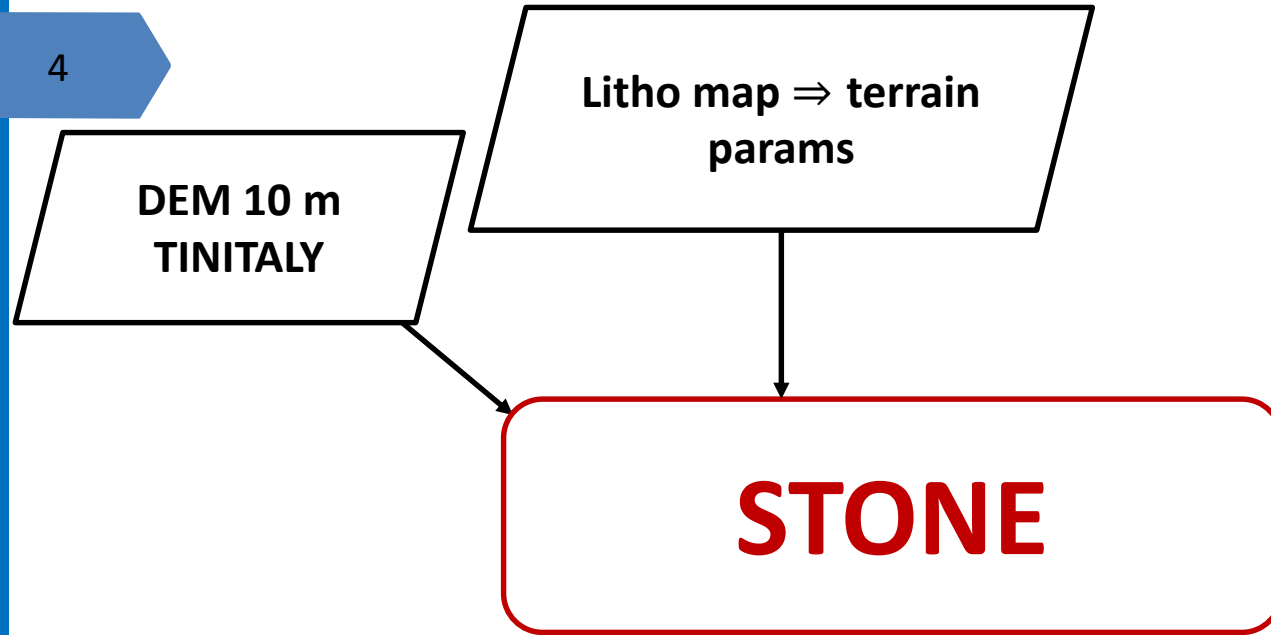


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graph TD; A[STONE] --> B[PHYSICALLY BASED ROCKFALL SUSCEPTIBILITY];
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PHYSICALLY BASED ROCKFALL SUSCEPTIBILITY

ROCKFALL SOURCES: PROBABILISTIC APPROACH

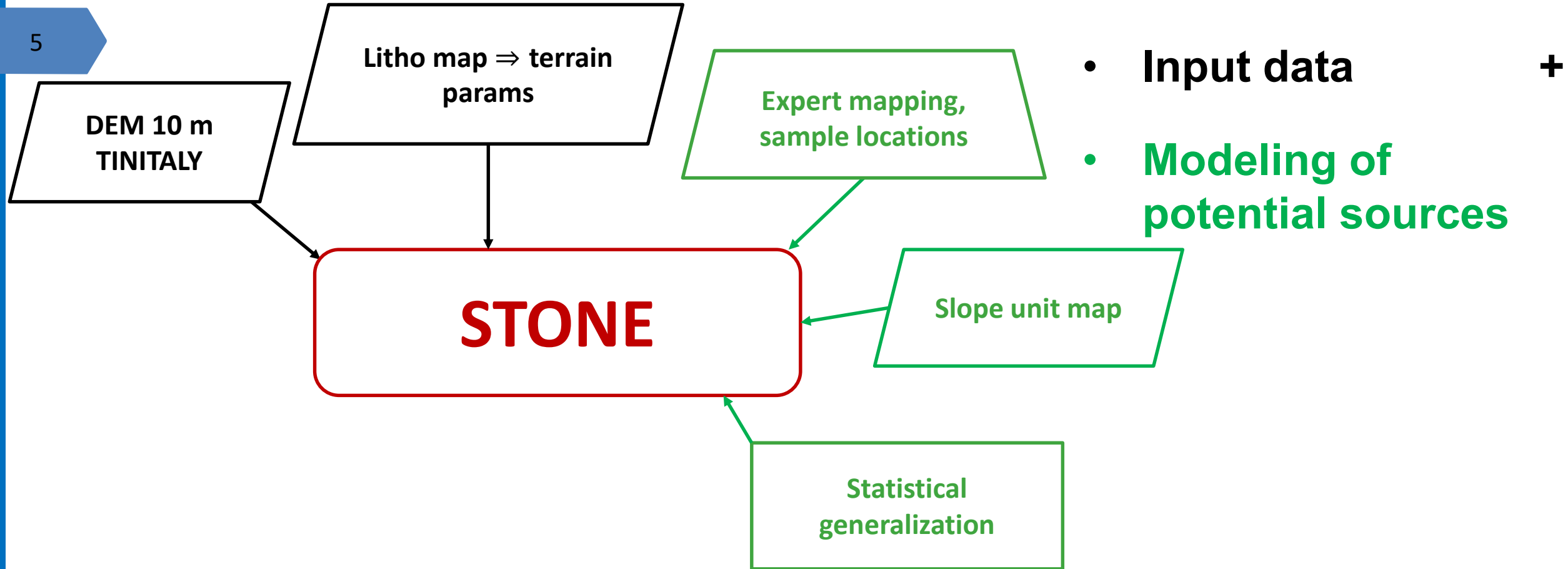
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- **Input data**

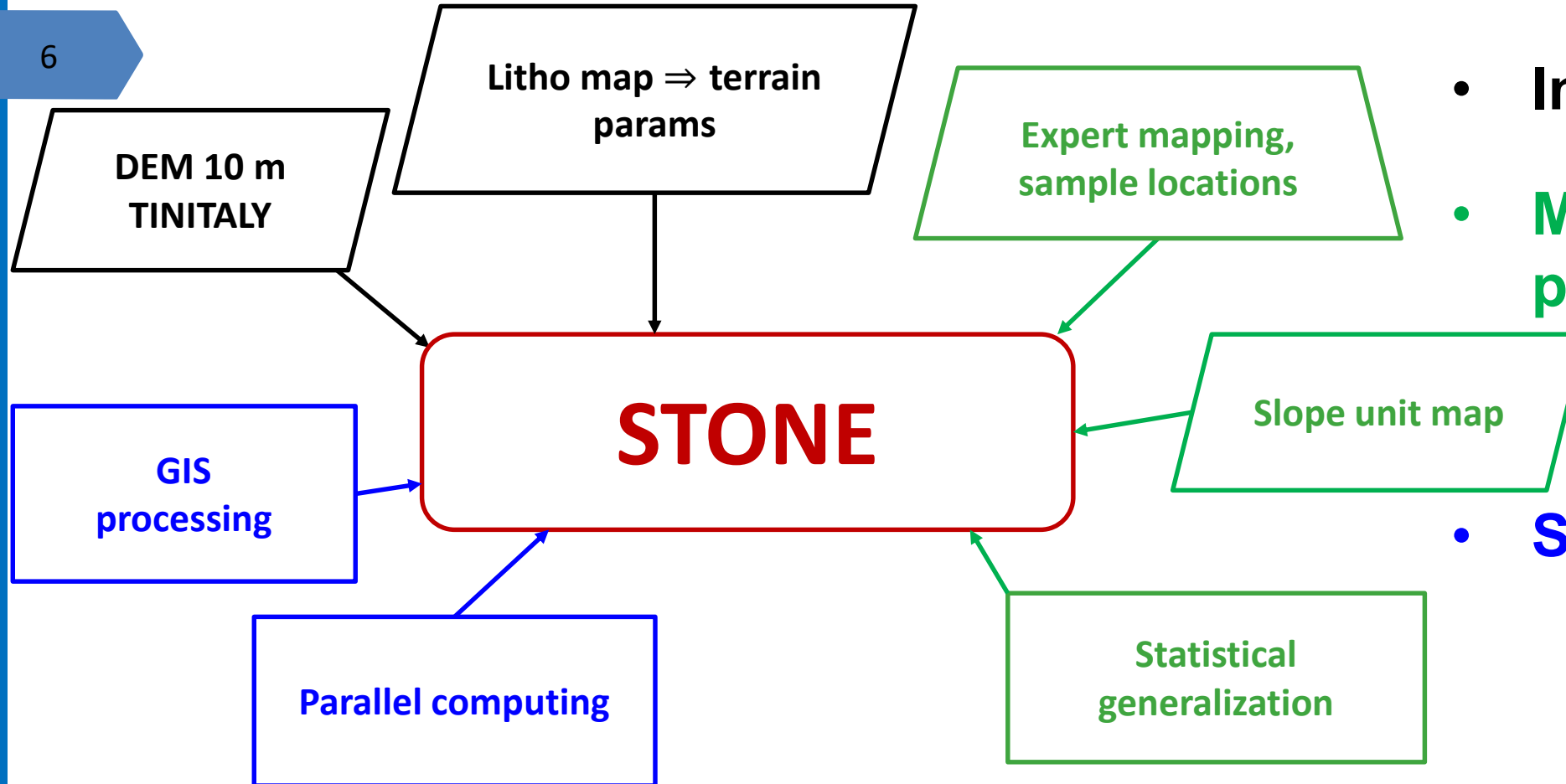
ROCKFALL SOURCES: PROBABILISTIC APPROACH

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ROCKFALL SOURCES: PROBABILISTIC APPROACH

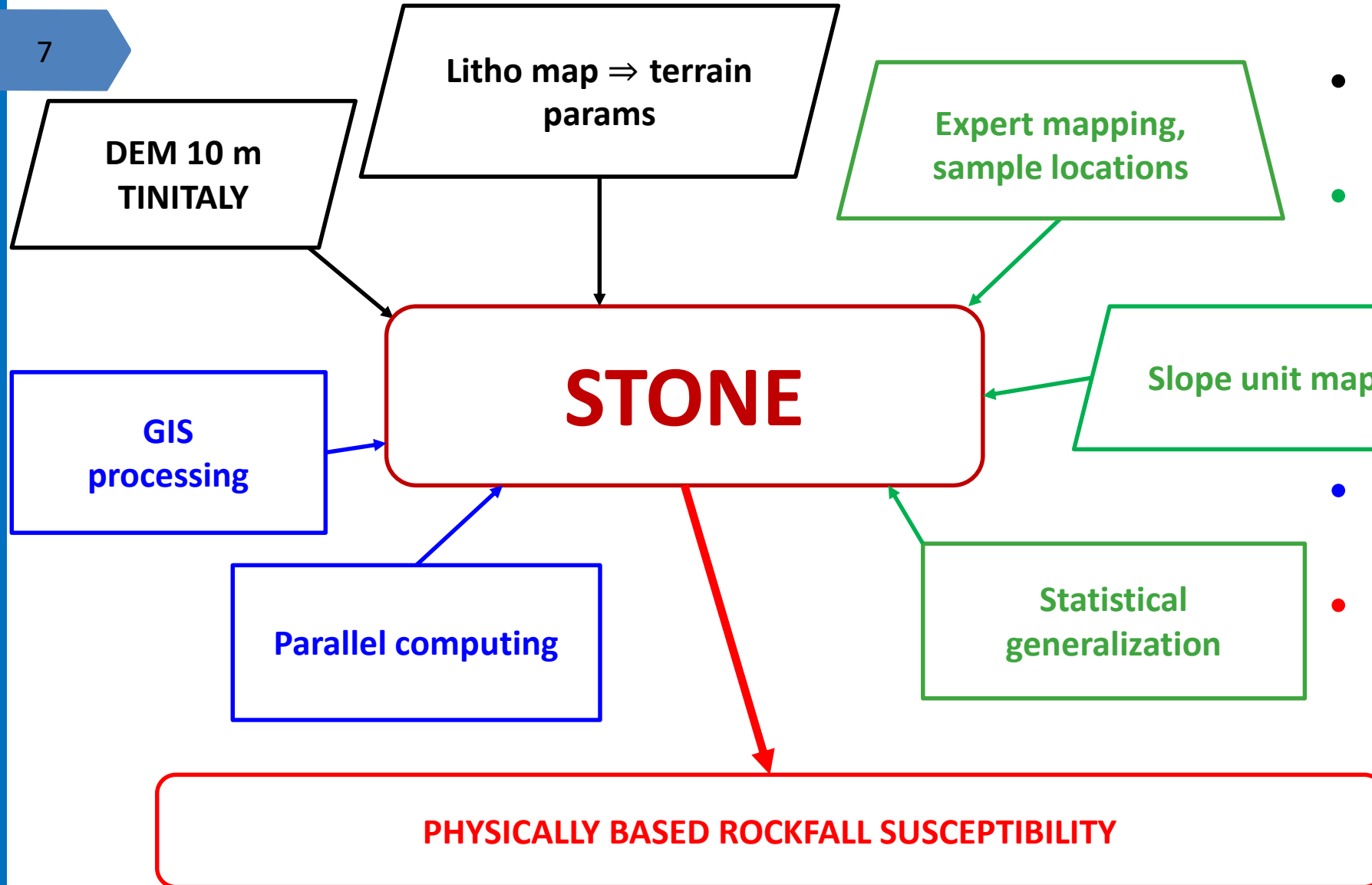
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- **Input data** +
- **Modeling of potential sources** +
- **Simulations**

ROCKFALL SOURCES: PROBABILISTIC APPROACH

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- **Input data** +
- **Modeling of potential sources** +
- **Simulations** +
- **Aggregation of results** =

ROCKFALL SOURCES: PROBABILISTIC APPROACH

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Expert mapping,
sample locations

- Expert mapping of **potential rockfall sources**
- Sample **representative locations**
- **Visual interpretation** on google Earth

ROCKFALL SOURCES: PROBABILISTIC APPROACH

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Expert mapping,
sample locations

Slope unit map

Statistical
generalization

- Expert mapping of potential rockfall sources
- Sample representative locations
- Visual interpretation on google Earth
- Slope units: **homogeneous terrain polygons**,
Optimal mapping units for landslides
- **Complete mapping** in selected slope units
- **300k slope unit polygons** all over Italy

Alvioli et al., Geomorphology (2020)

ROCKFALL SOURCES: PROBABILISTIC APPROACH

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Expert mapping,
sample locations

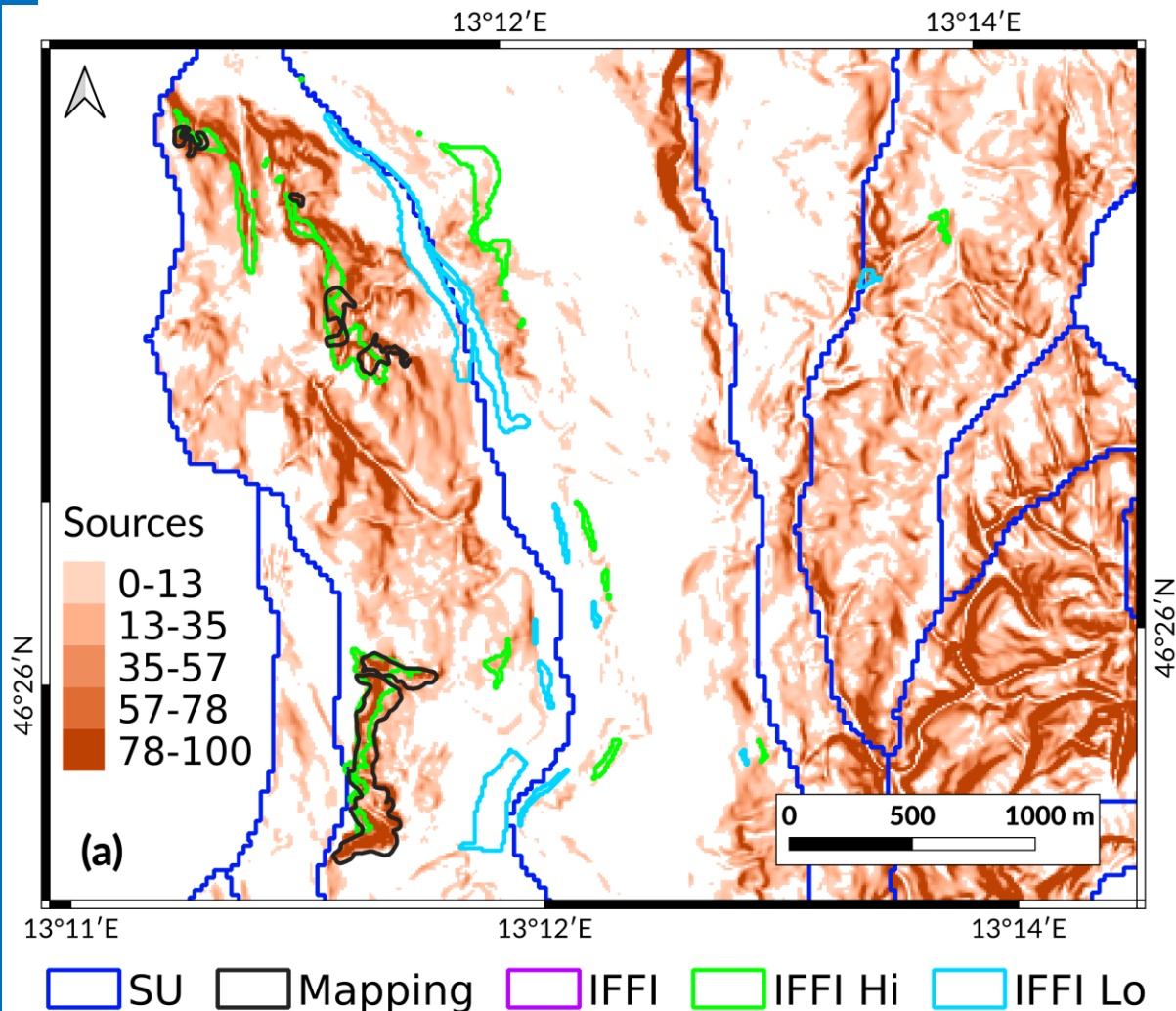
Slope unit map

Statistical
generalization

- Expert mapping of potential rockfall sources
- Sample representative locations
- Visual interpretation on google Earth
- Complete mapping in selected slope units
- Slope units: homogeneous terrain polygons, most suitable mapping units for landslides
- 300k slope unit polygons all over Italy
Alvioli et al., Geomorphology (2020)
- Statistical generalization $\Rightarrow P_{\text{static}}(S)$
- Probabilistic sources as a function of slope S
- Source map **independent of specific trigger**
Alvioli et al., Engineering Geology (2021)

STATISTICAL GENERALIZATION $\Rightarrow P_{static}(S) = a \left(\frac{s}{90} \right)^b$

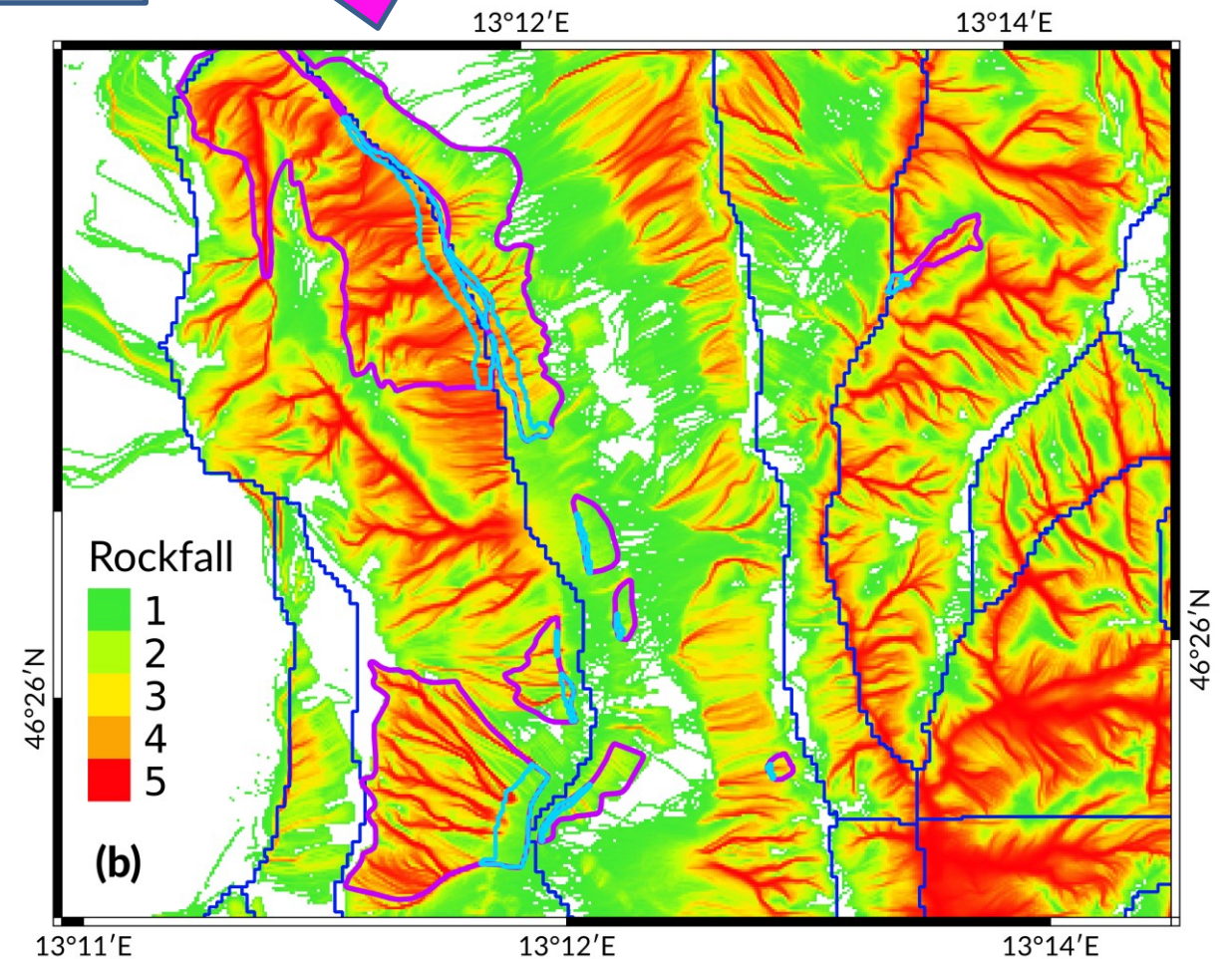
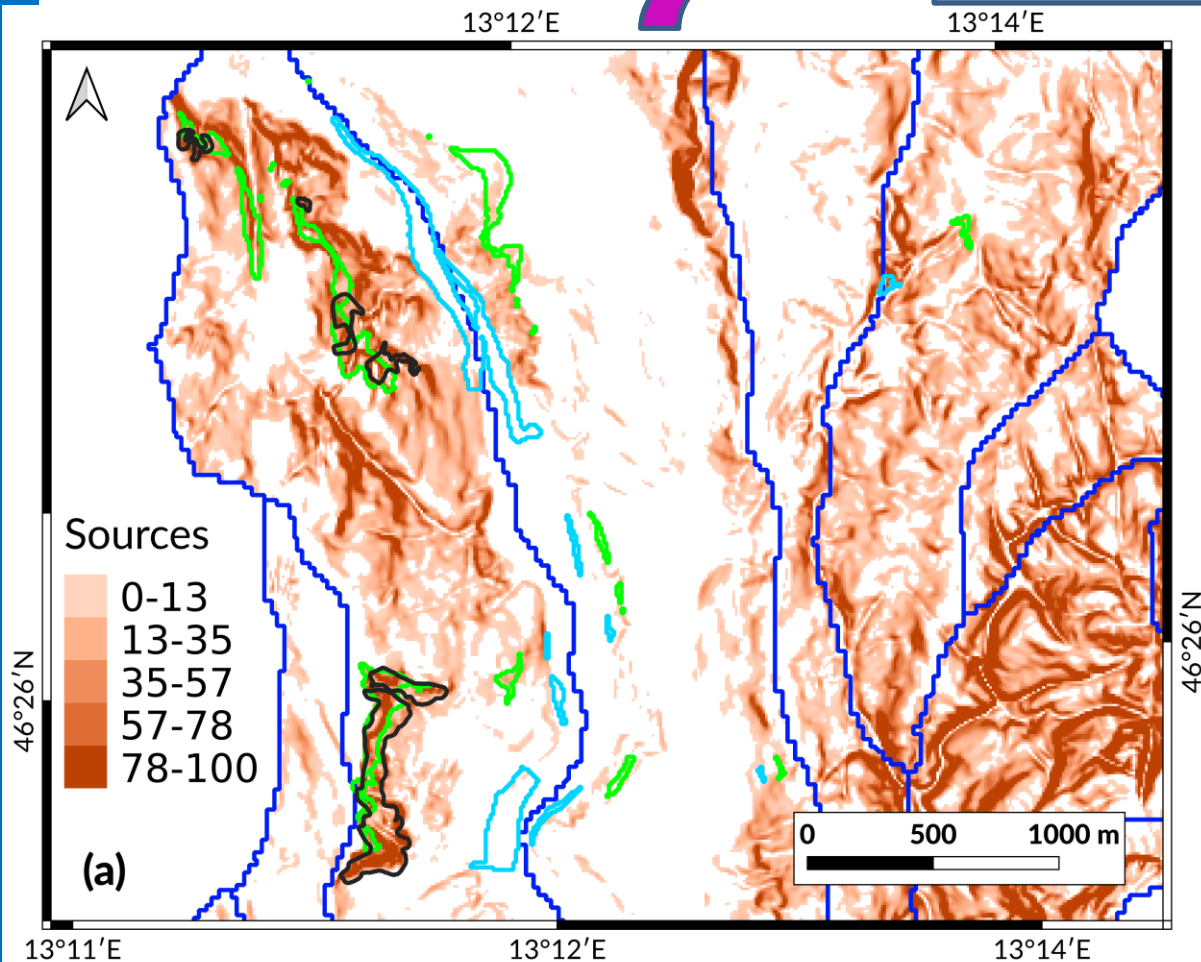
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NATIONAL ROCKFALL SIMULATION - 1

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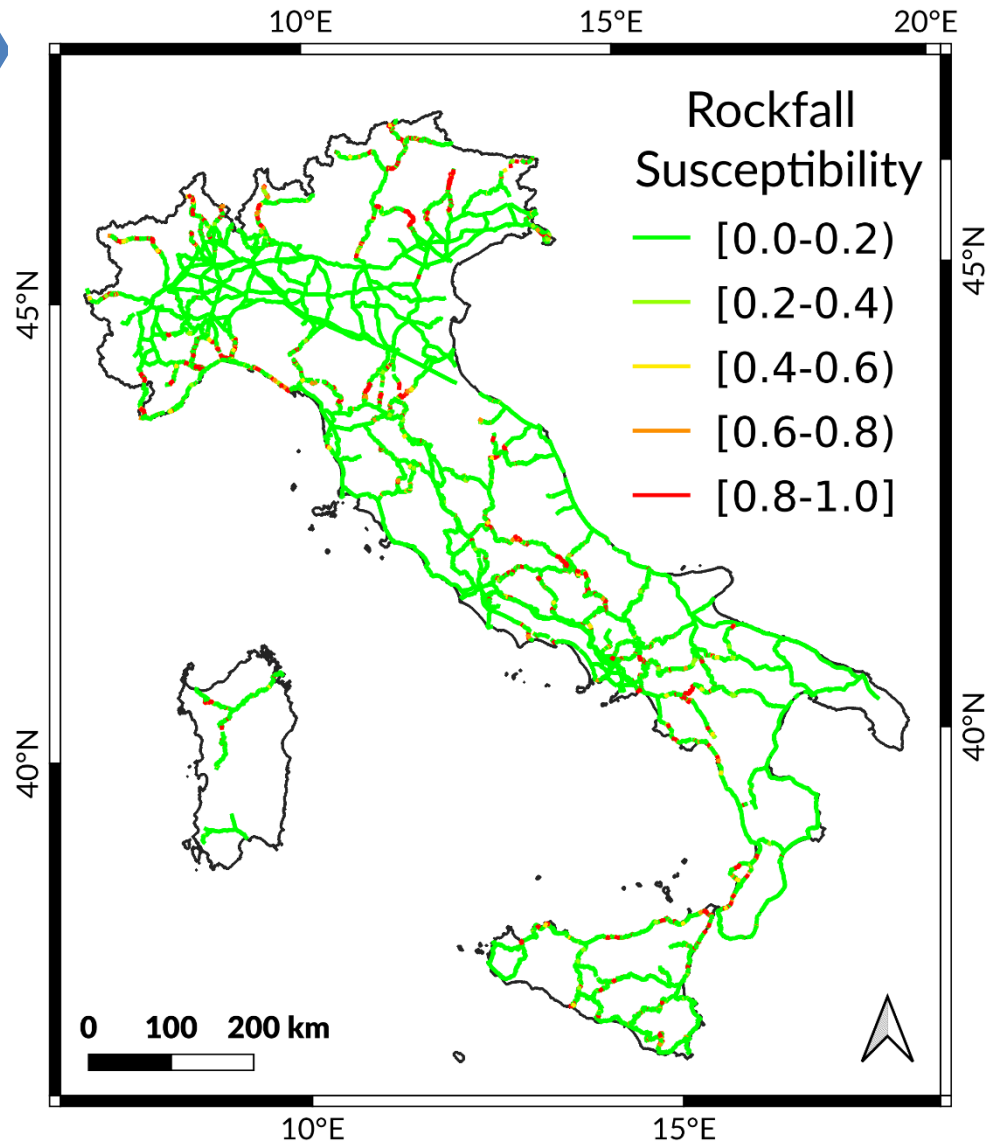
STONE



□ SU □ Mapping □ IFFI □ IFFI Hi □ IFFI Lo

NATIONAL ROCKFALL SIMULATION - 1

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- Rockfall susceptibility of **1-km segments of railway**
- National railway: more than 17,000 km (**16,084 km** on slopes)

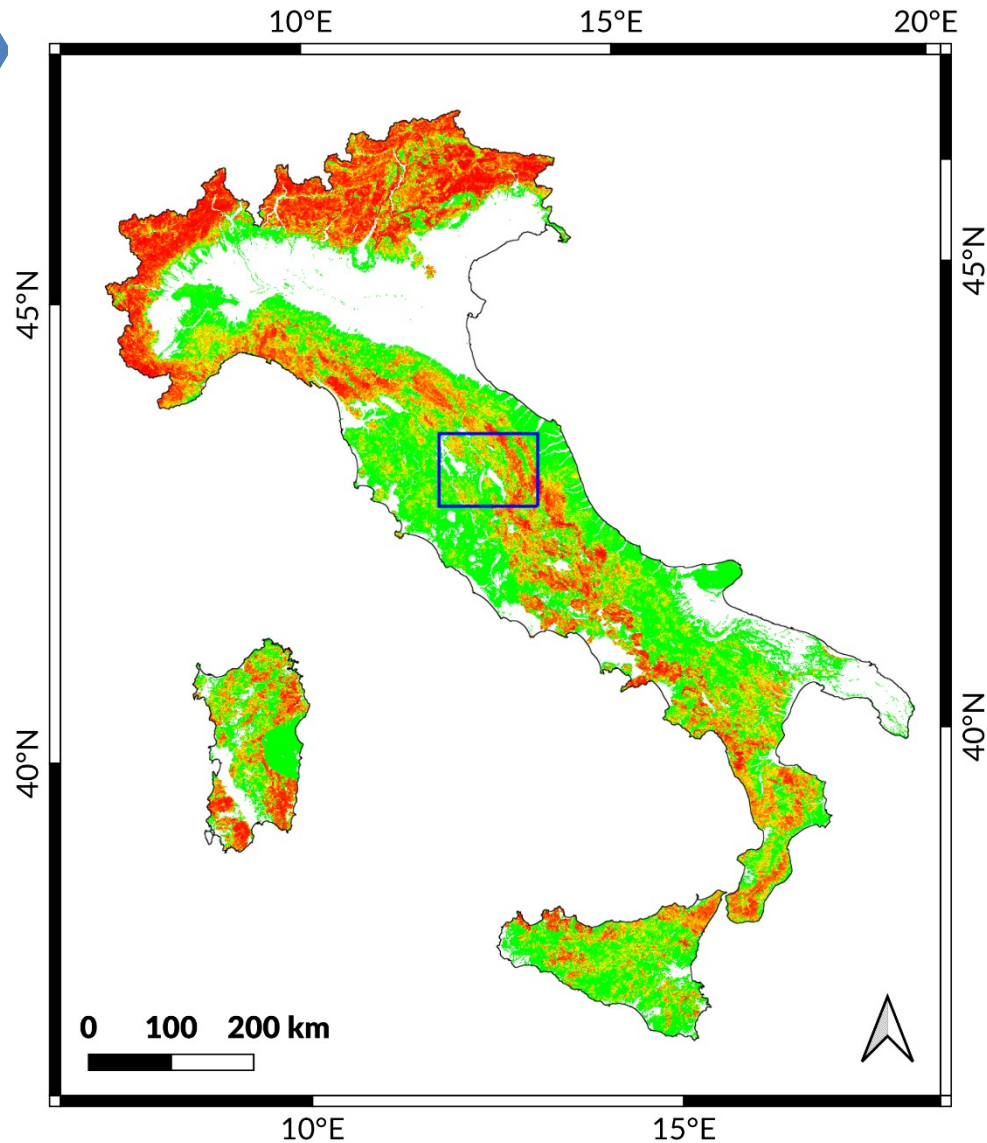
0 – 0.2	0.2 – 0.4	0.4 – 0.6	0.6 – 0.8	0.8 – 1	SUM
14,724	238	170	163	789	16,084

RFI *Gruppo Ferrovie dello Stato*
(National railway company)

Alvioli et al., Eng. Geol. (2021)

NATIONAL ROCKFALL SIMULATION - 2

14

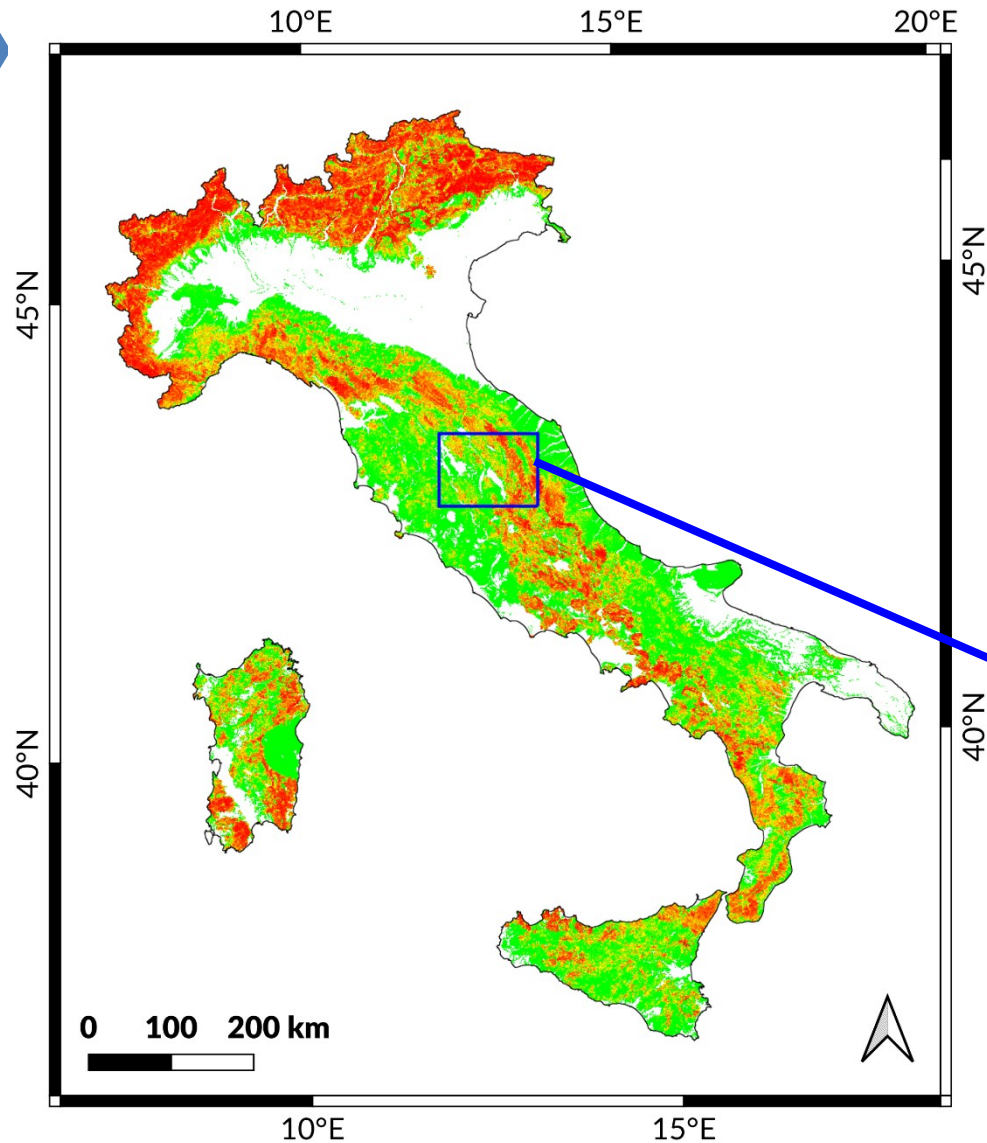


- Rockfall susceptibility at ***slope unit level***
- National coverage of slope units: **224,032 km²** (no plains)

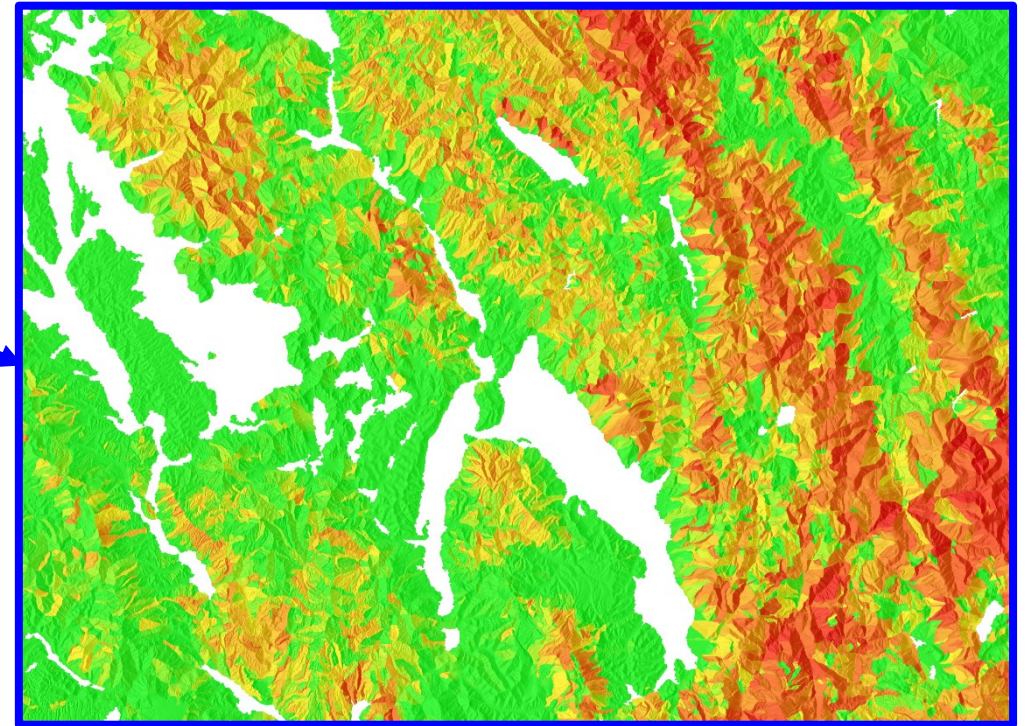
Preliminary results

NATIONAL ROCKFALL SIMULATION - 2

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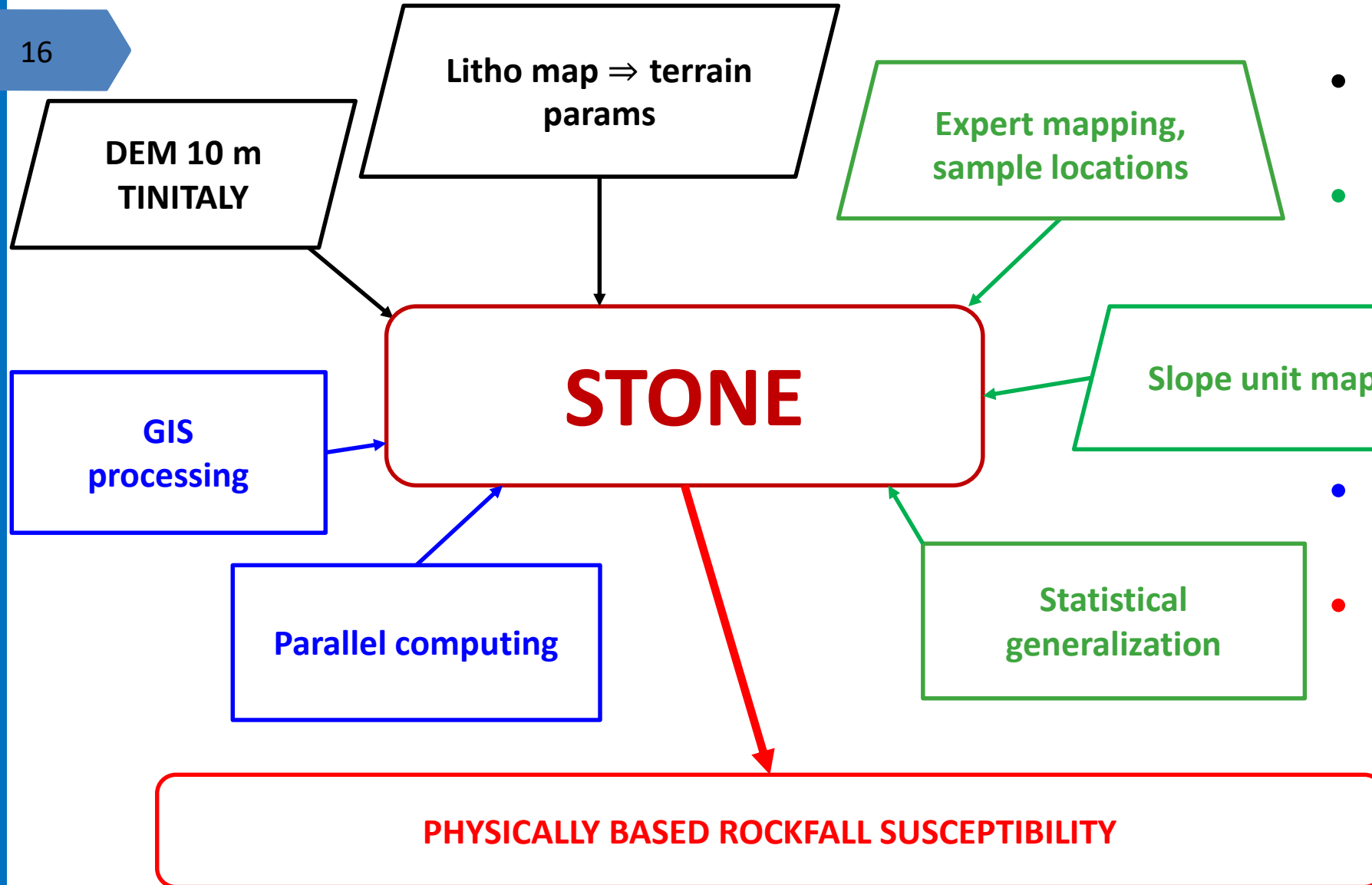


- Rockfall susceptibility at **slope unit level** (originally at 10 m)
- National coverage of slope units: **224,032 km²** (no plains)



ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

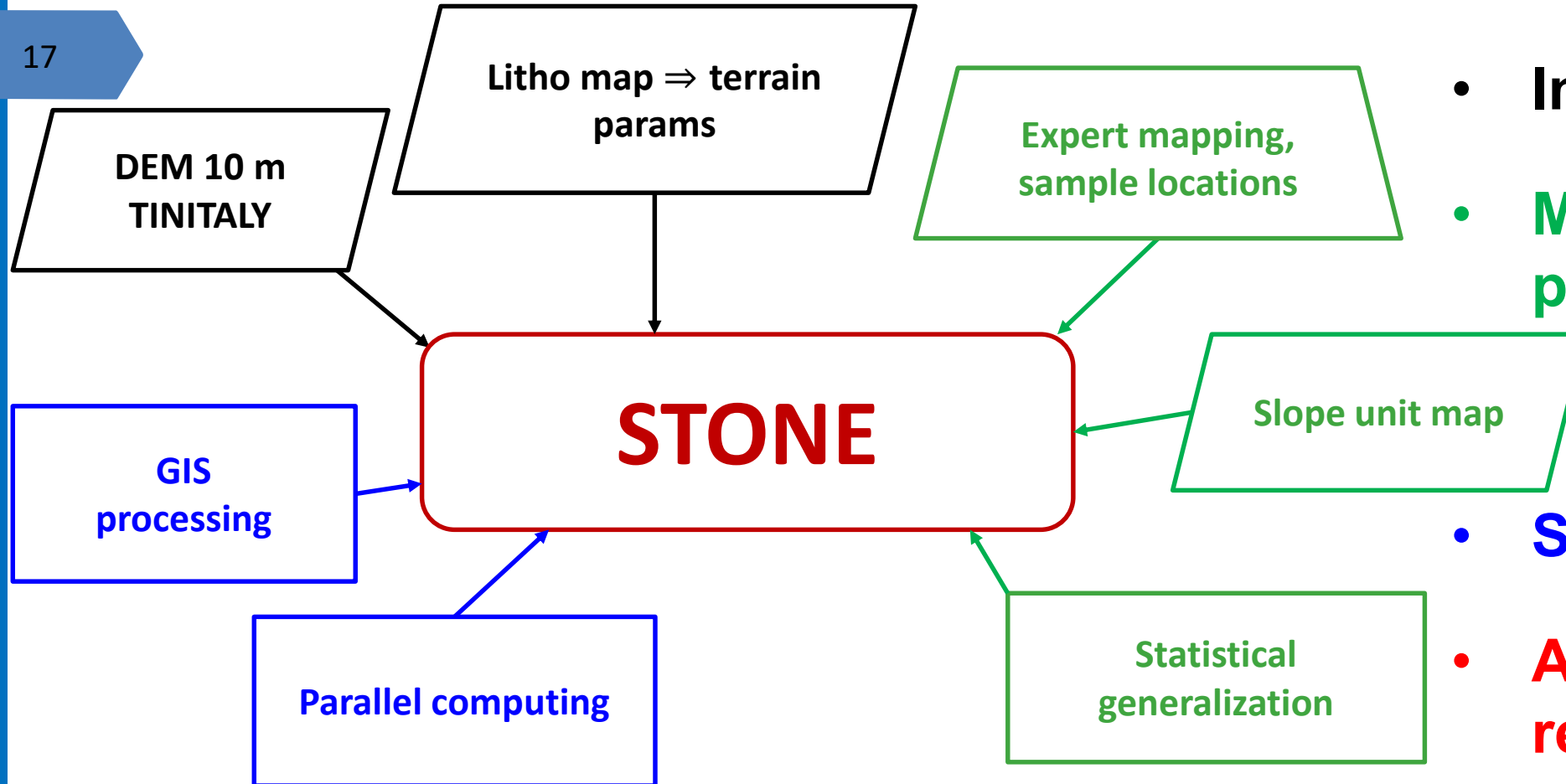
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- **Input data** +
- **Modeling of potential sources** +
- **Simulations** +
- **Aggregation of results** =

ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

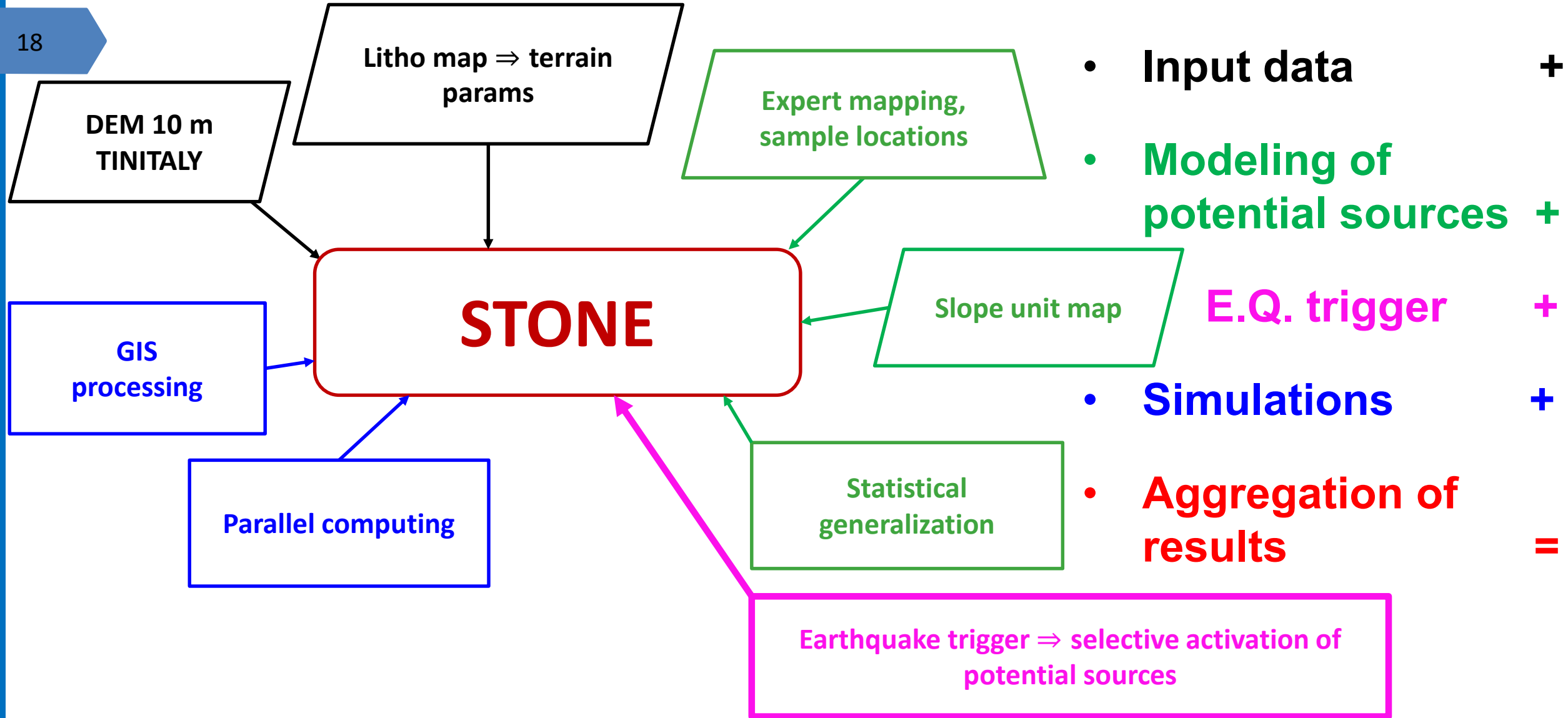
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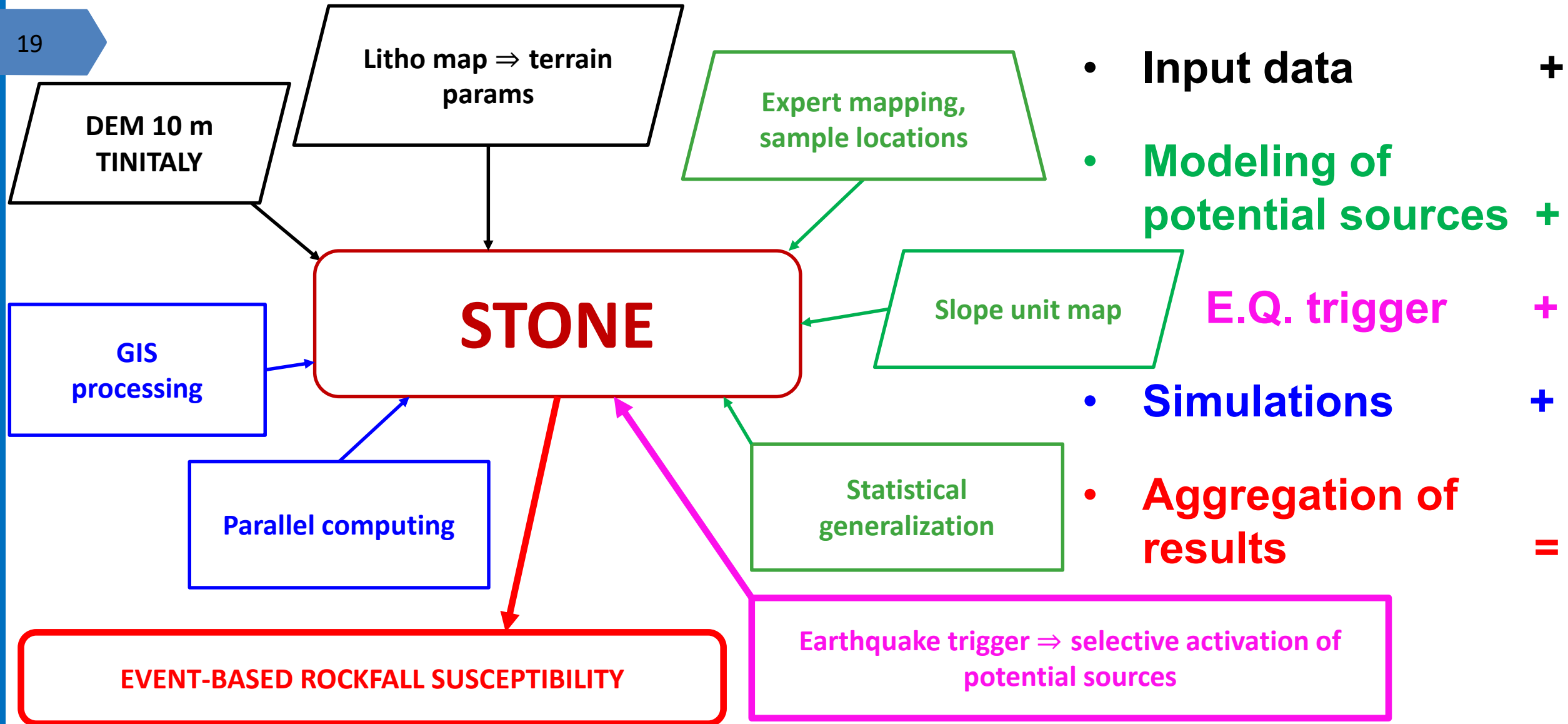
ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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- **Probabilistic, static** approach consists of:

$$P_{static}(S) = a \left(\frac{S}{90} \right)^b$$

- Need to **selectively activate sources** using a measure of ground shaking to obtain a **probabilistic, dynamic** source map
- We use peak ground acceleration (PGA):

$$P_{dynamic}(S, PGA) = P_{static}(S) F(PGA)$$

Where $F(PGA)$ **maps values of PGA into the interval [0,1]**, for a specific earthquake event $\Rightarrow$ *only a few sources are **activated by the trigger***

ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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$$P_{dynamic}(S, PGA) = P_{static}(S) F(PGA)$$

Where $F(PGA)$ *maps values of PGA into the interval [0,1]*, for a specific earthquake event $\Rightarrow$ *only a few sources are activated by the trigger*

We consider different functions $F(PGA)$:

- **Linear** mapping: $F(PGA) = \frac{PGA - PGA_{min}}{PGA_{max} - PGA_{min}}$
- Normalized tunable **sigmoid function**: $F(x) = \frac{x - k}{k - 2k|x| + 1}$

with $x = 2 \frac{PGA - PGA_{cut}}{PGA_{max} - PGA_{cut}} - 1$ and different values of k and PGA_{cut}

ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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- Linear mapping: $F(PGA) = \frac{PGA - PGA_{min}}{PGA_{max} - PGA_{min}}$

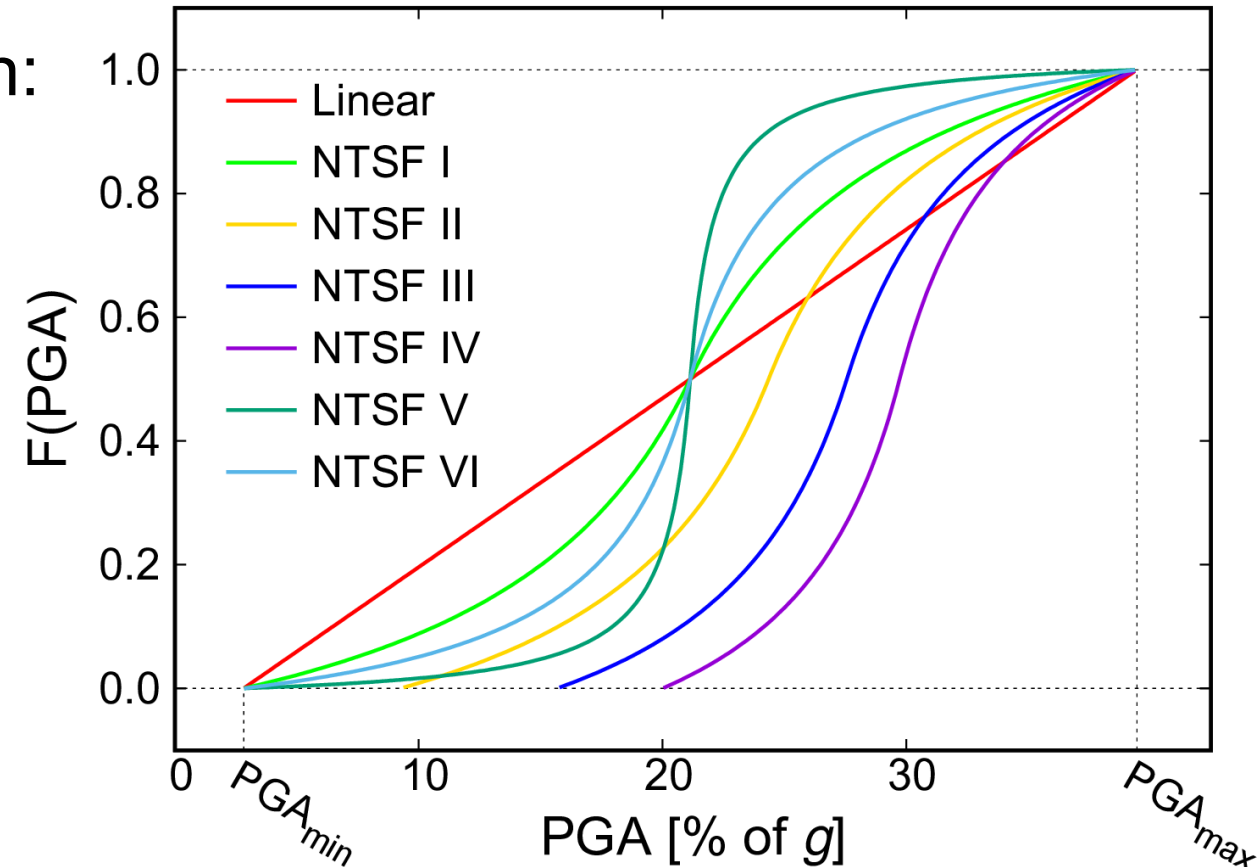
- Normalized tunable sigmoid function:

$$F(x) = \frac{x - k}{k - 2|x| + 1}$$

$$\text{with } x = 2 \frac{PGA - PGA_{cut}}{PGA_{max} - PGA_{cut}} - 1$$

and different values of

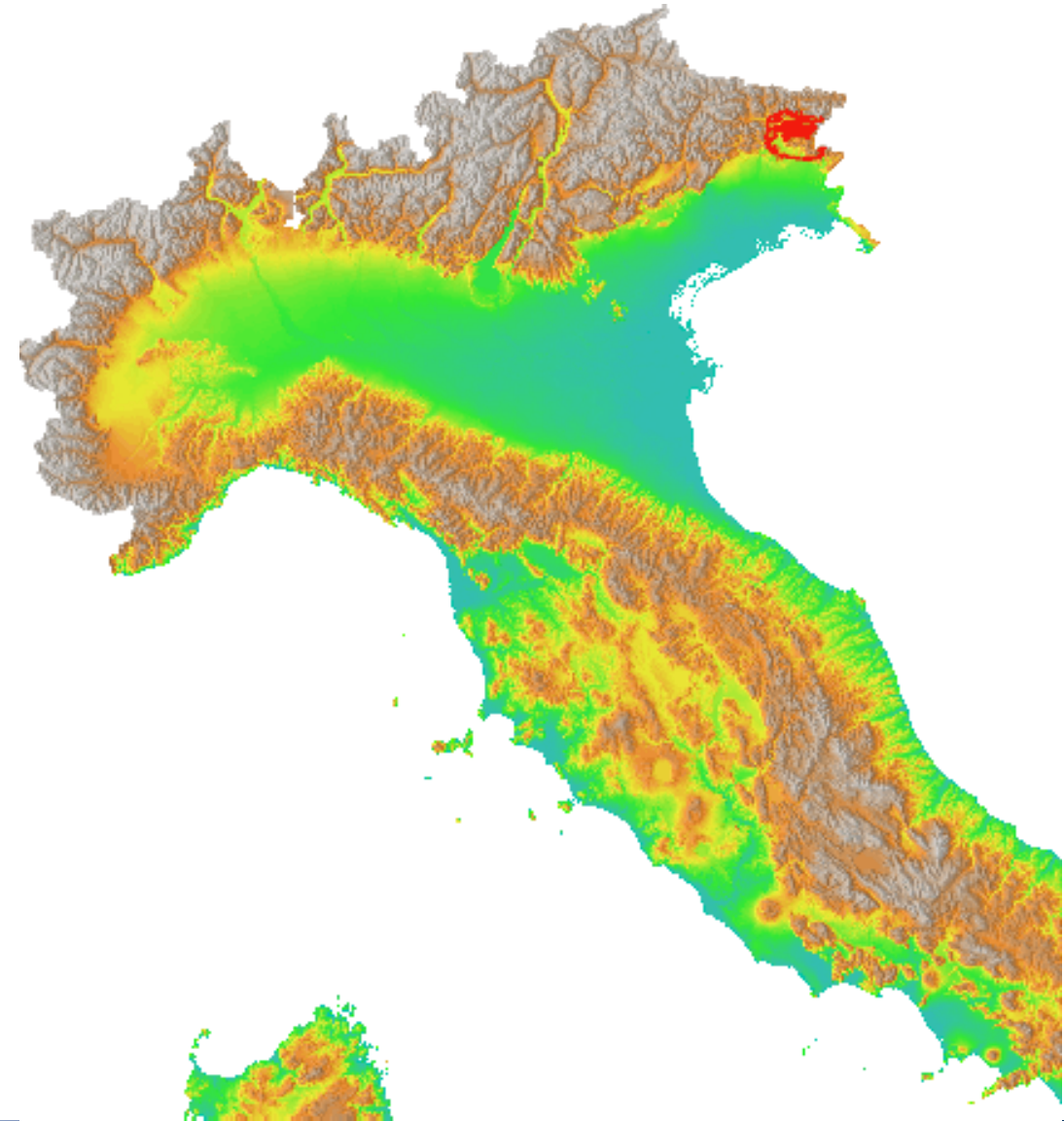
k and PGA_{cut} parameters



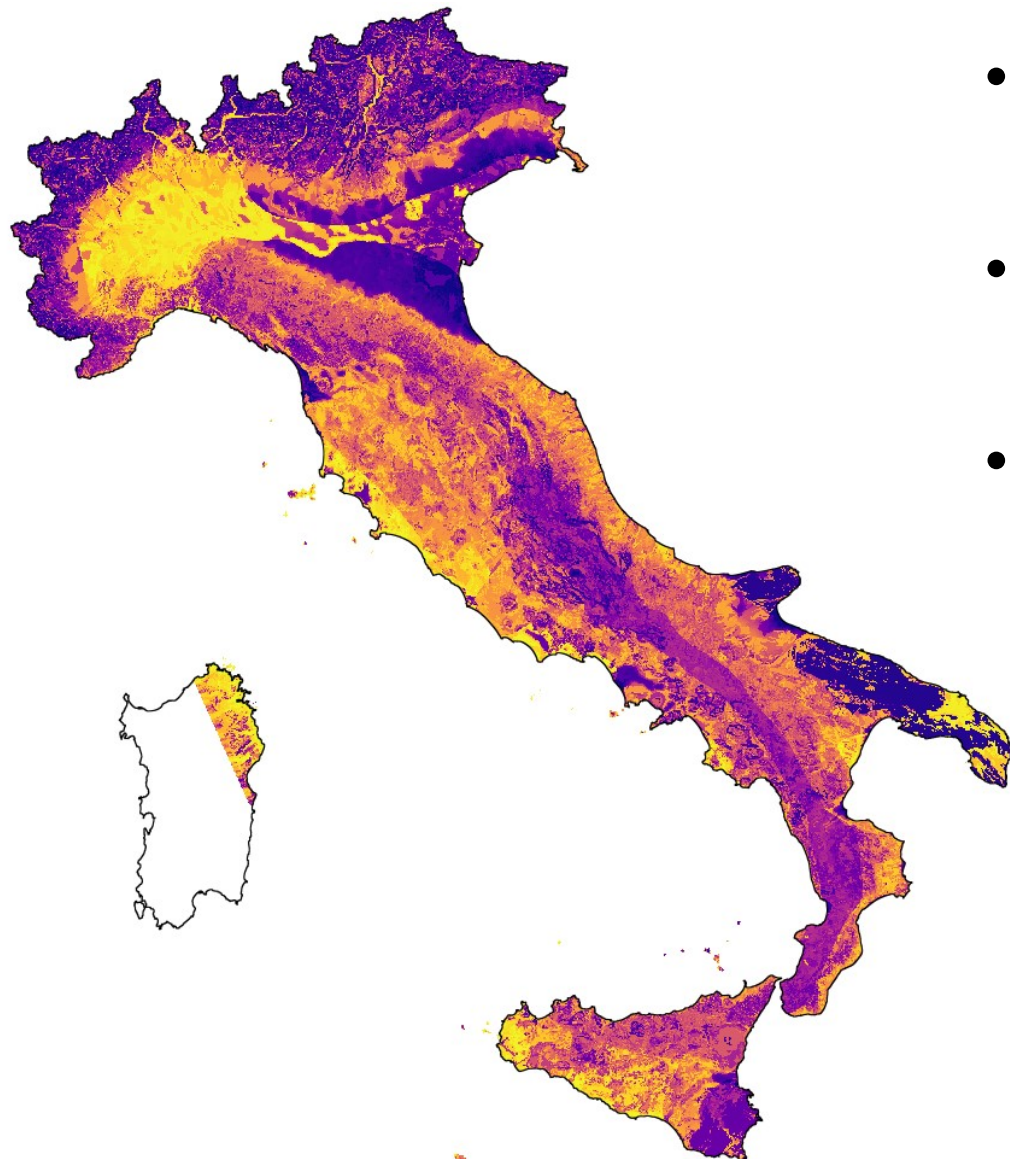
ROCKFALL SOURCES: PROBABILISTIC DYNAMIC APPROACH

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- A few historical **earthquake events** exist, for which we know both:
 - ⇒ **seismically induced rockfalls**
 - ⇒ **PGA map (figure)**
- **Friuli, 1976**
- **Umbria-Marche 1997**
- **L'Aquila, 2009**
 - ⇒ **calibration of $F(PGA)$ parameters**

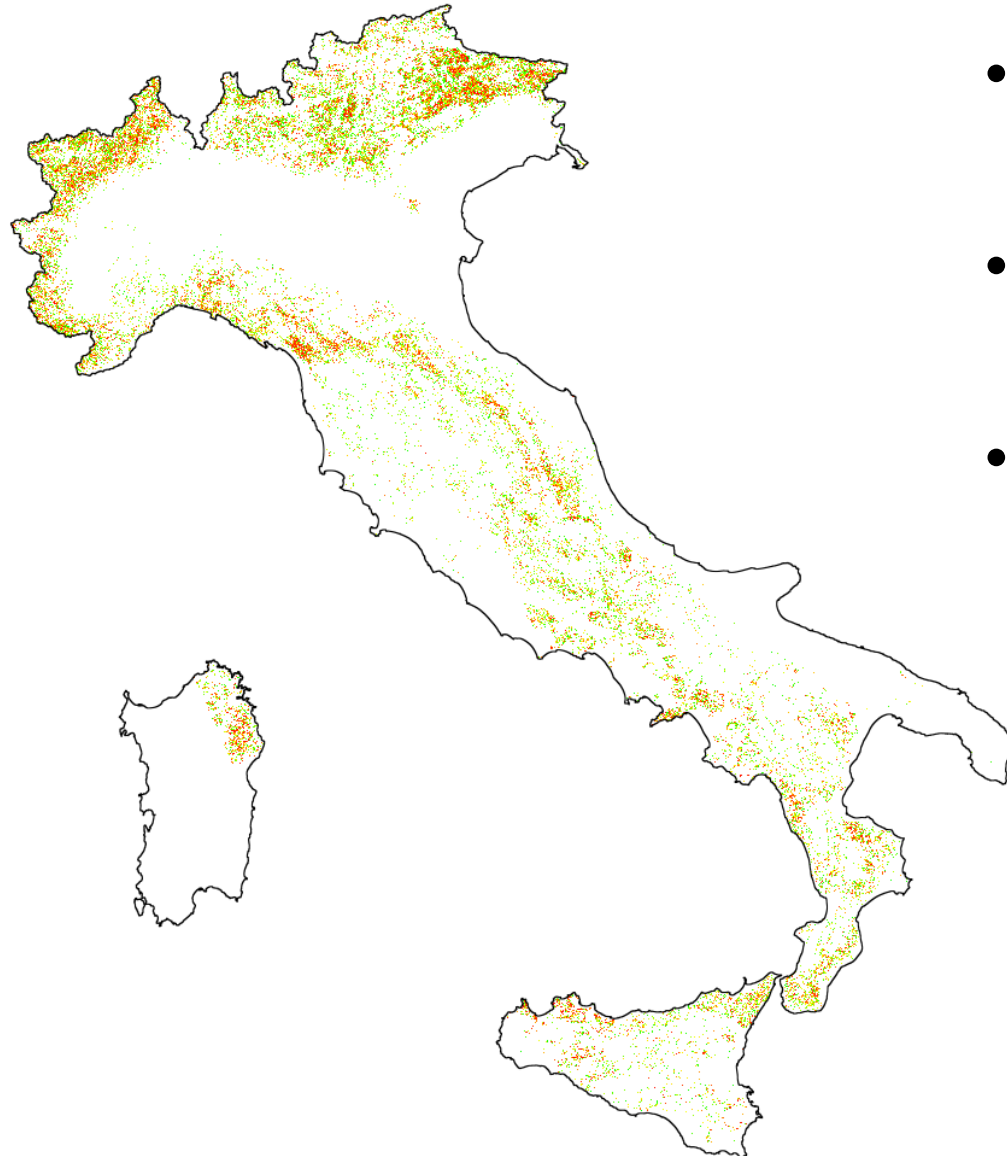


NATIONAL ROCKFALL SIMULATION – 3



- Quenched sources: estimated **average PGA with 475 y return time**
- *Seismically-induced Rockfall susceptibility at 475 y return time*
- National coverage of slope units: **224,032 km²** (no plains)

NATIONAL ROCKFALL SIMULATION – 3

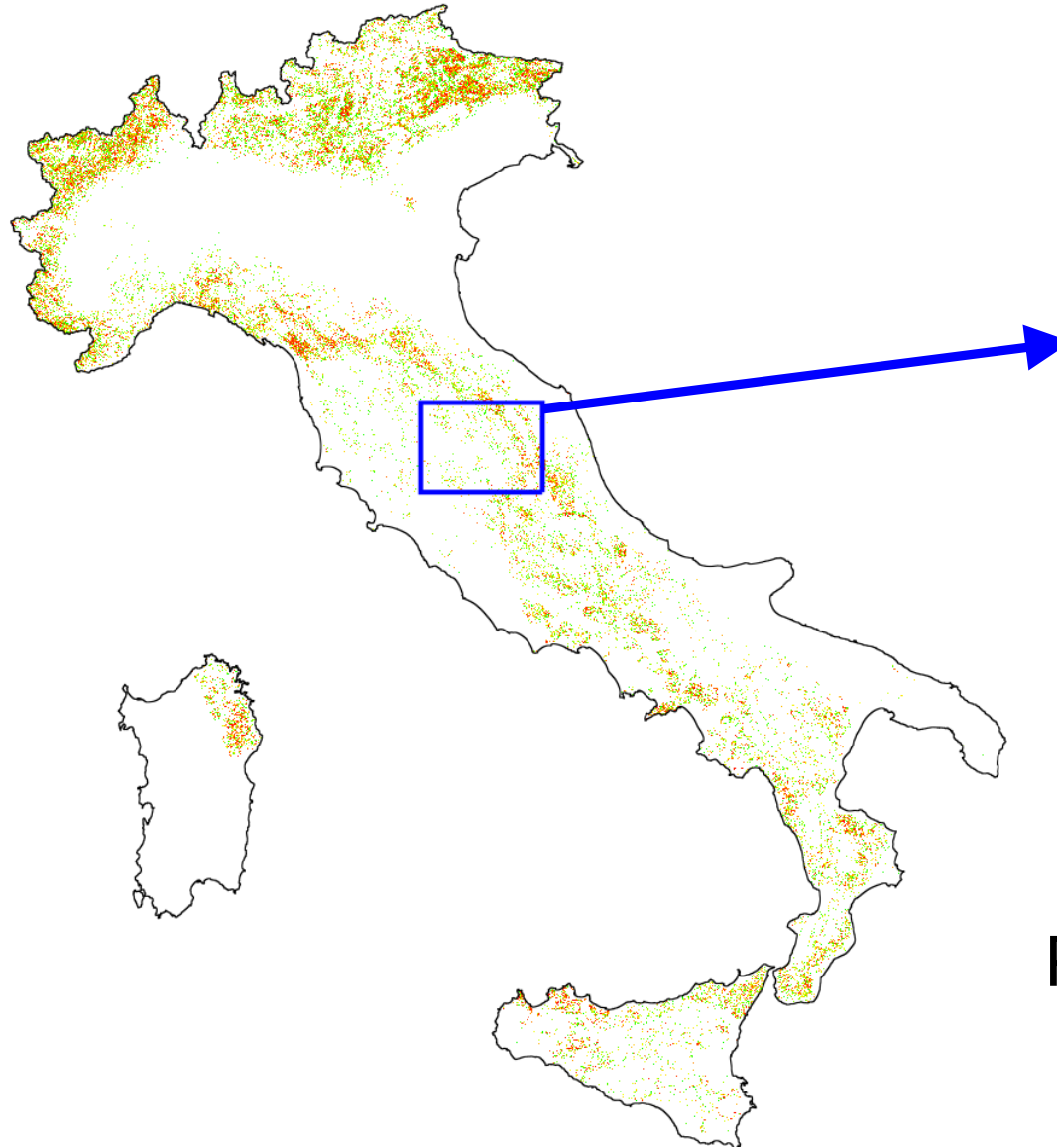


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Preliminary results

Progetto FRA.SI - *frane sismoindotte*
CNR IRPI/IGAG/IREA; MATTM

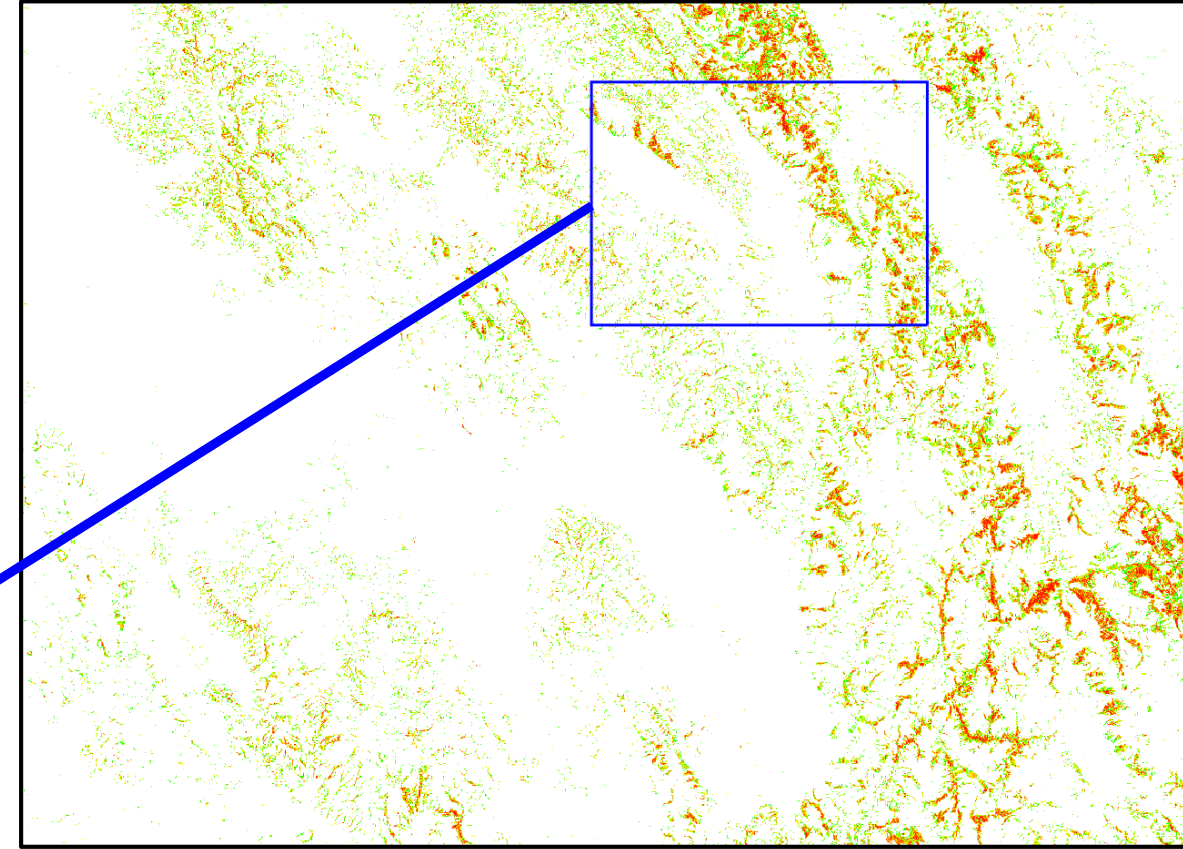
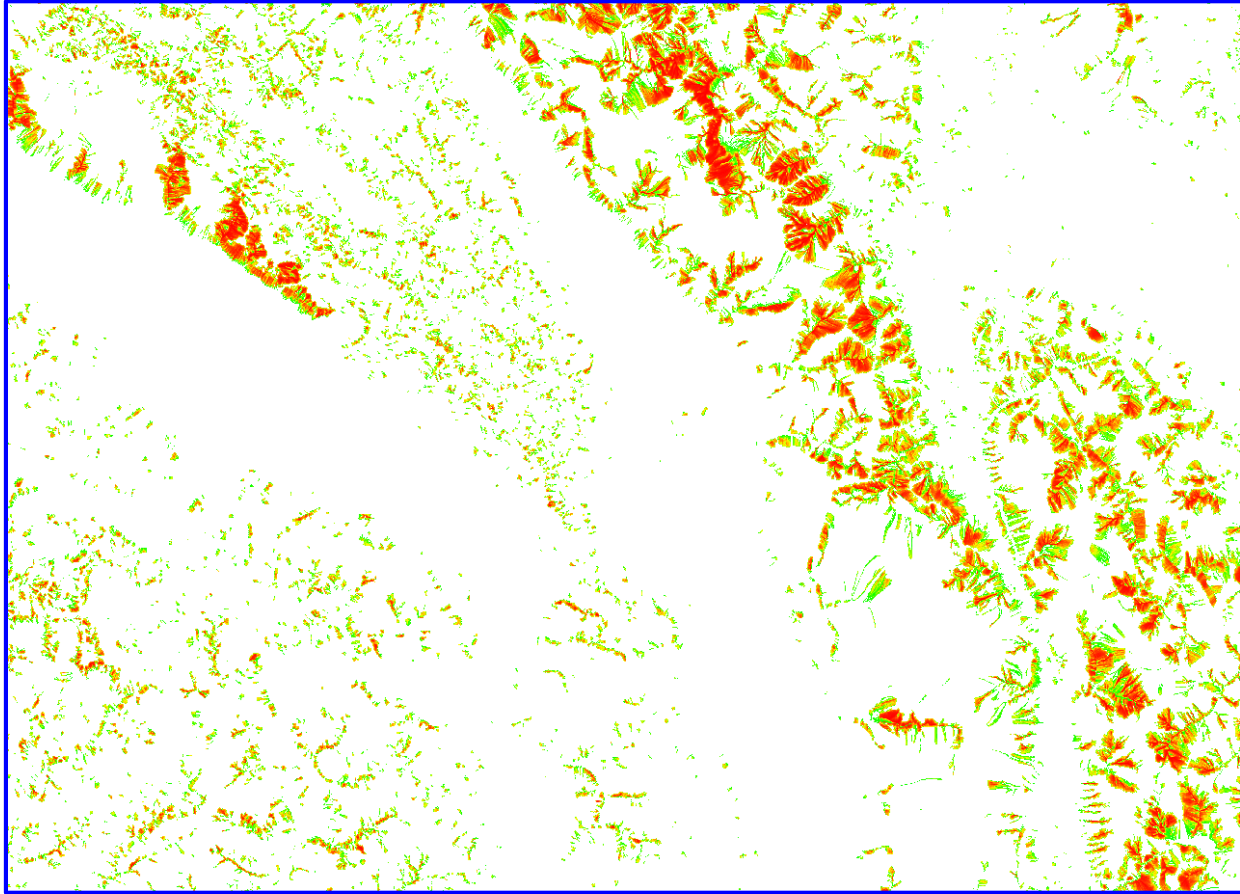
NATIONAL ROCKFALL SIMULATION – 3



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Progetto FRA.SI - *frane sismoindotte*
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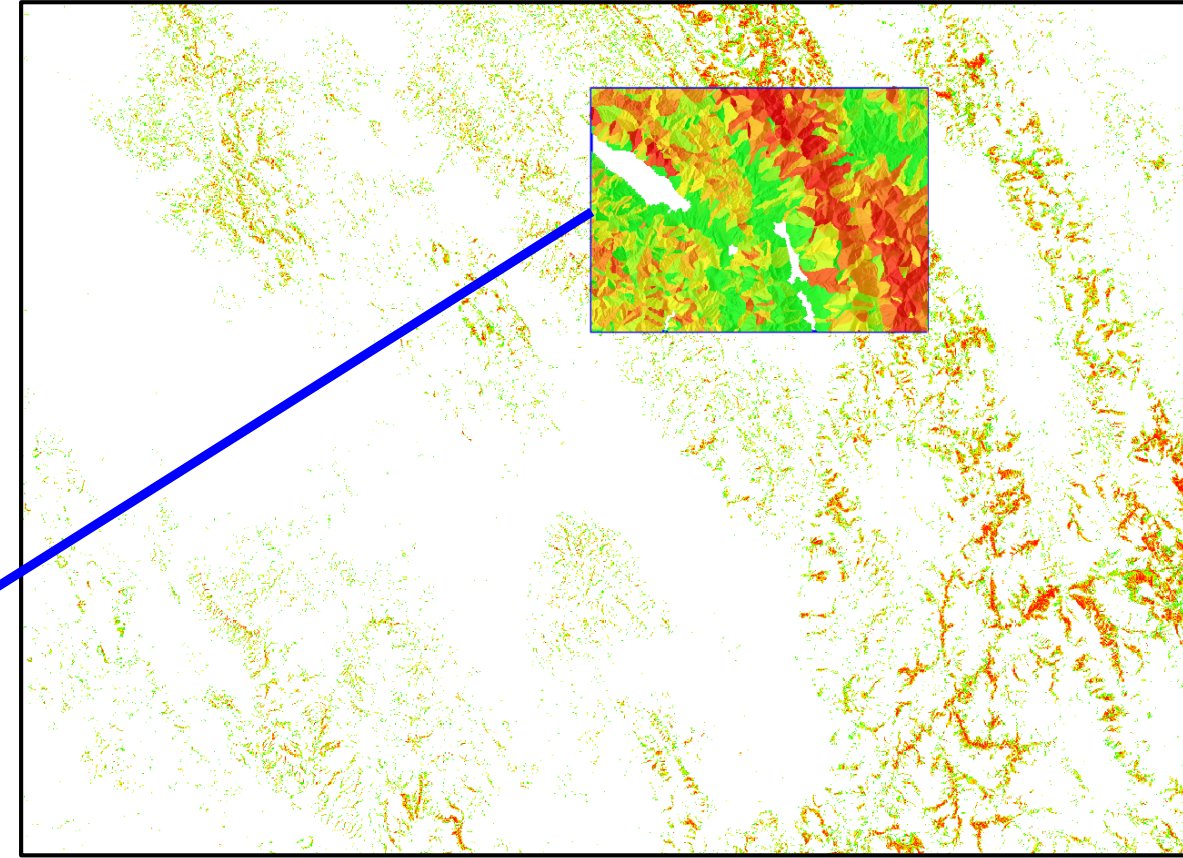
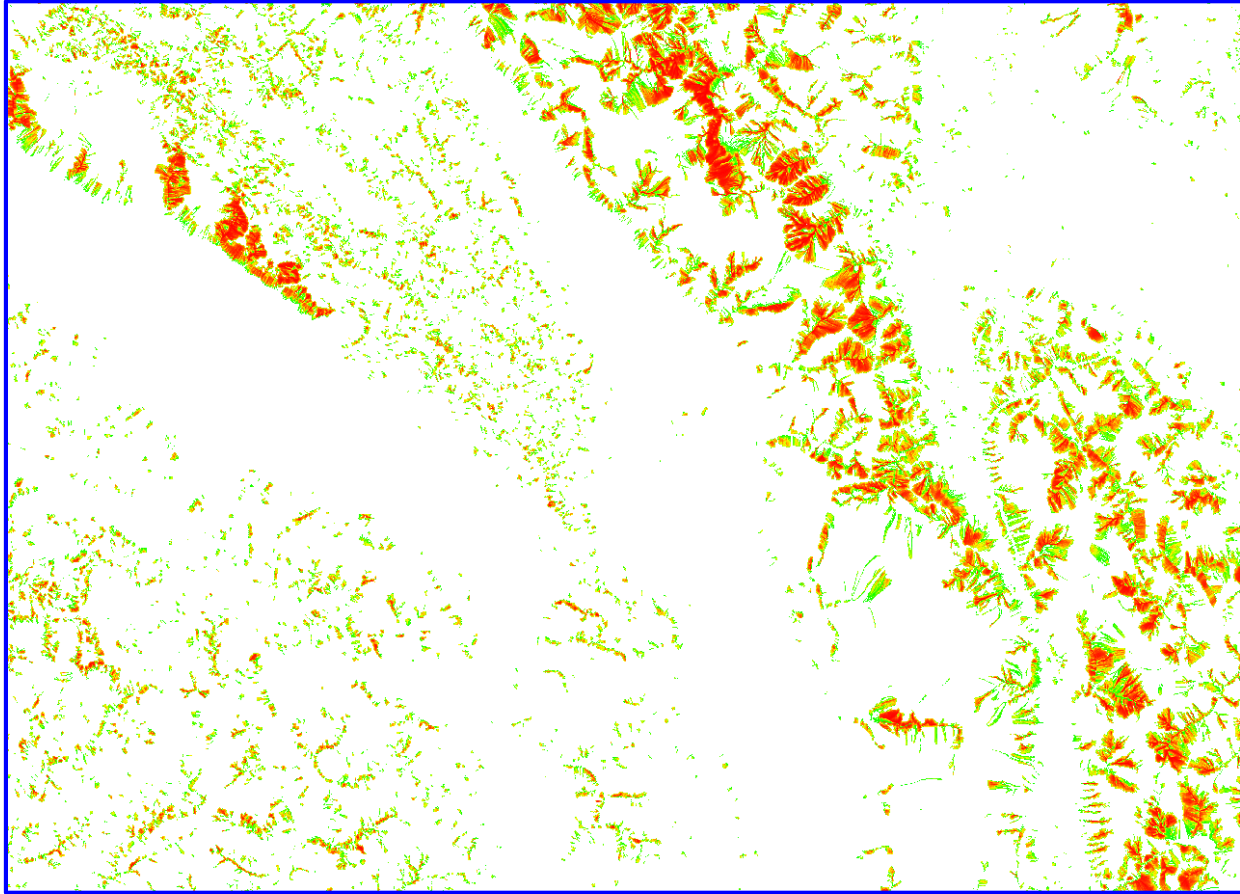
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Preliminary results
Progetto FRA.SI - *frane sismoindotte*
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NATIONAL ROCKFALL SIMULATION – 3

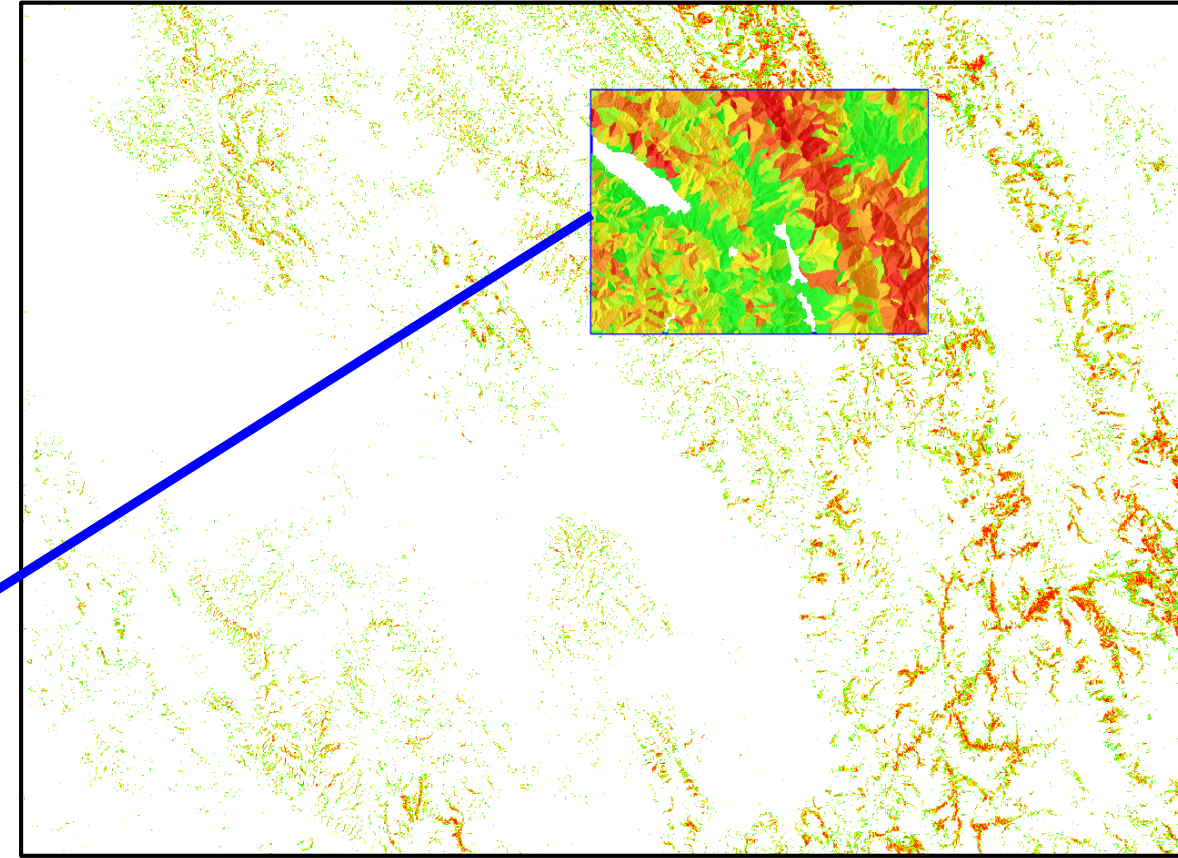
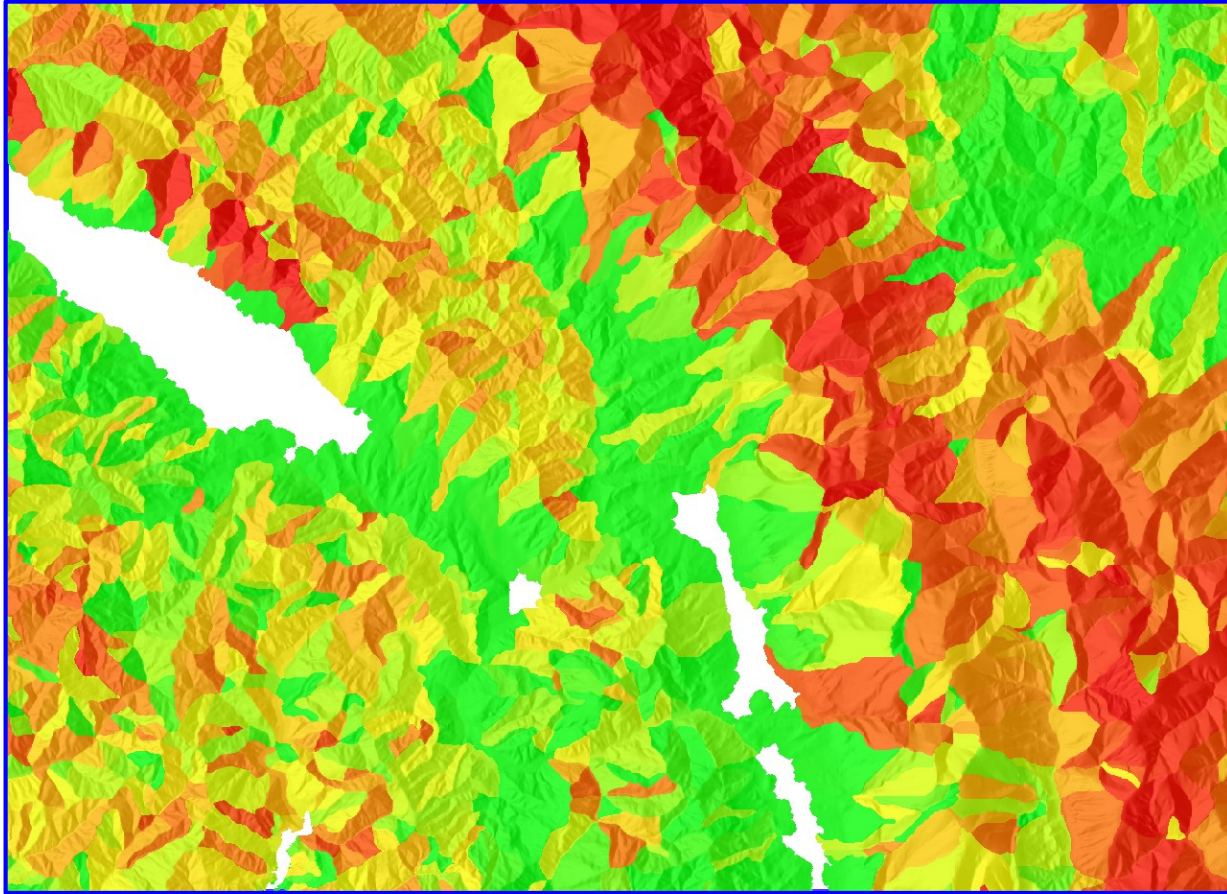
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Preliminary results
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NATIONAL ROCKFALL SIMULATION – 3

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Preliminary results

Progetto FRA.SI - *frane sismoindotte*
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SUMMARY

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- We obtain **potential rockfalls sources** as a function of slope, $P_{static}(S)$
- Method based on expert mapping in sample locations & generalization
- Sources used in STONE to simulate rockfall trajectories $\Rightarrow$ susceptibility
- Generalization of the method to a **dynamic seismic trigger**:

$$P_{dynamic}(S, PGA) = P_{static}(S) F(PGA)$$

- Calibration of $F(PGA)$ with EQ events with known triggered landslides
- In principle, method applicable in **near-real time $\Leftrightarrow$ PGA availability**

...That's all.



THANK YOU!

Essential BIBLIOGRAPHY

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